

**Form No. MGT-9**  
**EXTRACT OF ANNUAL RETURN**  
**As on the Financial Year ended on 31.3.2019**

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

<b>i)</b>	<b>CIN</b>	U65993TN1974PLC006588
<b>ii)</b>	<b>Registration Date</b>	05-04-1974
<b>iii)</b>	<b>Name of the Company</b>	SHRIRAM CAPITAL LIMITED
<b>iv)</b>	<b>Category / Sub Category of the Company</b>	Non- Banking Financial Company (NDSI-CIC)
<b>v)</b>	<b>Address of the Registered office and contact details</b>	Shriram House, No.4, Burkit Road, T Nagar, Chennai 600017. 044- 4905 2500
<b>vi)</b>	<b>Whether listed Company</b>	Yes / No
<b>vii)</b>	<b>Name, Address and contact details of Registrar and Transfer Agent, if any</b>	M/s Integrated Registry Management Services Private Limited No.1, Ramakrishna Street, Off North Usman Road, T. Nagar, Chennai – 600 017

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:-

<b>SL.NO</b>	<b>Name and Description of main products / services</b>	<b>NIC Code of the Product / service</b>	<b>% to total turnover of the Company</b>
<b>1</b>	<b>Core Investment Company</b>	<b>64200</b>	<b>100%</b>

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:-**

<b>S. No</b>	<b>NAME AND ADDRESS OF THE COMPANY</b>	<b>CIN/GLN</b>	<b>HOLDING /SUBSIDIARY /ASSICUATE</b>	<b>% of Shares held</b>	<b>Applicable Section</b>
1	Shriram Financial Ventures (Chennai) Pvt Ltd Shriram House, No.4, Burkit Road, T Nagar, Chennai 600017	U67190TN2011PTC079382	Holding	70.56	2 (46)
2	Shriram Life Insurance Company Limited Ramky Selenium, Plot No. 31 & 32, Beside Andhra Bank Training Centre, Financial District, Gachibowli, Hyderabad -500032	U66010TG2005PLC045616	Subsidiary	74.56	2(87)(ii)

3	Shriram General Insurance Company Limited E-8, Epip, Riico IndustriaL Area, Sitapura, Jaipur – 302022	U66010RJ2006PLC029979	Subsidiary	76.66	2(87)(ii)
4	Shriram Credit Company Limited Shriram House, No.4, Burkit Road, T Nagar, Chennai 600017	U65993TN1980PLC008215	Subsidiary	99.64	2(87)(ii)
5	Shriram Overseas Investments Private Limited C/O Sujatha NO 3-6-478 IVth Floor Anand Estate, (Shriram Life Building) Liberty Road,Himayat Nagar, Hyderabad 500029	U65990TG1995PTC020505	Subsidiary	92.36	2(87)(ii)
6	Shriram Asset Management Company Limited. Wockhardt Towers, 2nd Floor,East Wing, C-2,G Block, Bandra Kurla Complex, Bandra East, MUMBAI 400051	L65991MH1994PLC079874	Subsidiary*	68.42*	2(87)(ii)
7	Shriram Wealth Advisors Limited 123, Angappa Naicken Street, Chennai 600001	U67190TN2008PLC067747	Subsidiary*	79.71*	2(87)(ii)
8	Shriram Insight Share Brokers Limited Mookambika Complex, No.4, Lady Desika Road, Mylapore, Chennai 600004	U67120TN1995PLC031813	Subsidiary*	89.67*	2(87)(ii)
9	Shriram Financial Products Solutions (Chennai) Private Limited Greems Dugar, 4 <sup>th</sup> Floor, No.149, Greems Road, Chennai 600006	U67100TN2011PTC079652	Subsidiary*	99.64*	2(87)(ii)
10	Insight Commodities and Futures Private Limited Mookambika Complex, No.4, Lady Desika Road, Mylapore, Chennai 600004	U72900TN2003PTC052152	Subsidiary*	89.67*	2(87)(ii)
11	Shriram Fortune Solutions Limited 123, Angappa Naicken Street, Chennai 600001	U74210TN1987PLC014963	Subsidiary*	95.46*	2(87)(ii)
12	Bharat Investments Pte Ltd 17, Philip Street, #05-01, Grand Building, Singapore 048695	-	Subsidiary*	75.39*	2(87)(ii)
13	SIGI Philippines General Insurance Company Inc (formerly Monarch Insurance Co Inc) 15 <sup>th</sup> Floor, Citybank Tower, 8741 Paseo De Roxas, Makati City, Philippines	-	Subsidiary*	58.18*	2(87)(ii)
14	Shriram Transport Finance Company Limited Mookambika Complex, 3 <sup>rd</sup> Floor, No.4, Lady Desika Road, Mylapore, Chennai 600004	L65191TN1979PLC007874	Associate	26.08	2 (6)

15	Shriram Automall India Ltd Mookambika Complex, 3 <sup>rd</sup> Floor, No.4, Lady Desika Road, Mylapore, Chennai 600004	U50100TN2010PLC074572	Associate*	11.62*	2 (6)
16	Shriram City Union Finance Limited 123, Angappa Naicken Street, Chennai 600001	L65191TN1986PLC012840	Associate	33.74	2 (6)
17	Shriram Housing Finance Ltd 123, Angappa Naicken Street, Chennai 600001	U65929TN2010PLC078004	Associate*	26.06*	2(6)
18	Shriram Value Services Limited	U63090TN1995PLC033513	Subsidiary	77.68	2(87)(ii)
19	Novac Technology Solutions Pvt Ltd	U72100TN2018PTC122516	Subsidiary*	69.92*	2(87)(ii)
20	Techfactory Services Private Limited	U72900TN2019PTC126739	Subsidiary*	76.13*	2(87)(ii)

\* For these entities (which are not direct subsidiaries/associates), the percentage holding reflects the “Effective percentages”.

#### IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage to Total Equity)

##### i) Category-wise Share Holding

Category of Shareholders	No of shares held at the beginning of the year				No of shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
a) Individual / HUF									
b) Central Govt									
c) State Govt(s)									
d) Bodies Corp.	71,62,07,367	4,19,11,914	75,81,19,281	70.56	71,62,07,367	4,19,11,914	75,81,19,281	70.56	0.00
e) Banks / FI									
f) Any Other Trust, Firm	100	150	250	0.00	100	150	250	0.00	0.00
<b>Sub-Total (A) (1):-</b>	71,62,07,467	4,19,12,064	75,81,19,531	70.56	71,62,07,467	4,19,12,064	75,81,19,531	70.56	0.00
<b>(2) Foreign</b>									
a) NRIs – Individuals									
b) Other Individuals									
c) Bodies Corp									
d) Banks / FI									
e) Any Other ...									
<b>Sub-Total (A) (2):-</b>	-	-	-	-	-	-	-	-	-
<b>Total Shareholding of Promoter (A) = (A)(1)+(A)(2)</b>	71,62,07,467	4,19,12,064	75,81,19,531	70.56	71,62,07,467	4,19,12,064	75,81,19,531	70.56	0.00
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds									
b) Banks / FI									
c) Central Govt									
d) State Govt(s)									
e) Venture Capital Funds									
f) Insurance Companies									
g) FIs									
h) Foreign Venture Capital Funds									
i) Others (Specify)									
<b>Sub-Total (B) (1):-</b>	-	-	-	-	-	-	-	-	-
<b>2. Non Institutions</b>									
a) Bodies Corp.									
j) Indian	9,57,47,200	11,91,65,806	21,49,13,006	20.00	9,57,47,200	11,91,65,806	21,49,13,006	20.00	0.00
ii) Overseas									
b) Individuals									
i) Individual Shareholders holding nominal share capital upto Rs.1 lakh		250	250	0.00		250	250	0.00	0.00
ii) Individual Shareholders holding nominal share capital in excess of Rs.1 lakh									
c) Others (specify) - OCBs		10,13,80,344	10,13,80,344	9.44		10,13,80,344	10,13,80,344	9.44	0.00
<b>Firm</b>									
<b>Sub-Total (B) (2):-</b>	9,57,47,200	22,05,46,400	31,62,93,600	29.44	9,57,47,200	22,05,46,400	31,62,93,600	29.44	0.00
<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	9,57,47,200	22,05,46,400	31,62,93,600	29.44	9,57,47,200	22,05,46,400	31,62,93,600	29.44	0.00
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>									
<b>Grand Total (A+B+C)</b>	81,19,54,667	26,24,58,464	107,44,13,131	100.00	81,19,54,667	26,24,58,464	107,44,13,131	100.00	-

**(ii) Shareholding of Promoters**

SL. NO	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No of Shares	% of total shares of the Company	% of shares pledged / encumbered to total Shares	No of Shares	% of total shares of the Company	% of shares pledged / encumbered to total Shares	
1	Shriram Financial Ventures (Chennai) Pvt Ltd	75,81,19,281	70.56	-	75,81,19,281	70.56	-	0.00
2	Shriram Ownership Trust	250	0.00	-	250	0.00	-	0.00

**(iii) Change in Promoters' Shareholding (please specify, if there is no change)**

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	At the beginning of the year	01.04.2018		75,81,19,531	70.56%		
2	Changes during the year			-	-	-	-
3	At the end of the year	31.03.2019				75,81,19,531	70.56%

**(iv) Shareholding Pattern of top ten Shareholders**

*(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	<b>Shrilekha Business Consultancy Private Limited</b>						
	At the beginning of the year	01.04.2018		21,49,12,006	20.00%		
	At the end of the year	31.03.2019				21,49,12,006	20.00%
2	<b>TPG India Investments II INC</b>						
	At the beginning of the year	01.04.2018		10,13,80,344	9.44%		
	At the end of the year	31.03.2019				10,13,80,344	9.44%
3	<b>Piramal Enterprises Ltd</b>						
	At the beginning of the year	01.04.2018		1,000	0.00%		
	At the end of the year	31.03.2019				1,000	0.00%

4	<b>Others</b>						
	At the beginning of the year	01.04.2018		250	0.00%		
	Changes during the year						
	At the end of the year	31.03.2019				250	0.00%

**(v) Shareholding of Directors and Key Managerial Personnel:**

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Mr D V Ravi						
	At the beginning of the year	01.04.2018		50	0.00%		
	At the end of the year	31.03.2019				50	0.00%
2	Mr S Murali						
	At the beginning of the year	01.04.2018		50	0.00%		
	At the end of the year	31.03.2019			0.00%	50	0.00%

**V. INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs in Lakhs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	-	-	-	-
<b>Change in Indebtedness during the financial year</b>				
* Addition				-
* Reduction	-	-	-	-
Net Change	-	-	-	-
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount		-		-
ii) Interest due but not paid		-		-
iii) Interest accrued but not due		-		-
<b>Total (i+ii+iii)</b>		-	-	-

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**
**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager			Total Amount (Rs in Lakhs)
		Mr. Rajesh Laddha	Mr. D V Ravi		
	<b>Name</b>	Mr. Rajesh Laddha	Mr. D V Ravi		
	<b>Designation</b>	Managing Director & CEO	Managing Director		
<b>1</b>	<b>Gross salary</b>	305.68	105.00		438.88
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-		-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-		-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-		-
<b>2</b>	<b>Stock Option</b>	-	-		-
<b>3</b>	<b>Sweat Equity</b>	-	-		-
<b>4</b>	<b>Commission</b>				-
	- as % of profit	-	-		-
	- others, specify	-	-		-
<b>5</b>	<b>Others, please specify</b>	-	-		-
	<b>Total (A)</b>				438.88
	<b>Ceiling as per the Act</b>	Within the limits as per Section 198 of the Companies Act, 2013			

**B. Remuneration to other Directors**

SN.	Particulars of Remuneration	Name of Directors		Total Amount (Rs in Lakhs)
		Mr. N Lakshminarayanan	Mr. T K Gowrishankar	
<b>1</b>	<b>Independent Directors</b>			
	<b>Fee for attending Board / Committee meetings</b>	2.40	2.25	4.65
	<b>Commission</b>			
	<b>Others, please specify</b>			
	<b>Total (1)</b>	2.40	2.25	4.65
<b>2</b>	<b>Other Non-Executive Directors</b>			-
	<b>Fee for attending board committee meetings</b>			-
	<b>Commission</b>			-
	<b>Others, please specify</b>			-
	<b>Total (2)</b>			-
	<b>Total (B)=(1+2)</b>			4.65
	<b>Total Managerial Remuneration</b>			
	<b>Overall Ceiling as per the Act</b>	Within the limits as per Section 198 of the Companies Act, 2013		

**D. Remuneration to Key Managerial Personnel other than MD/Manager/WTD**

SN.	Particulars of Remuneration	Name of Key Managerial Personnel	Total Amount
	<b>Name</b>	Mr. S Murali	(Rs in Lakhs)
	<b>Designation</b>	ED, CFO and CS	
<b>1</b>	<b>Gross salary</b>	45.26	45.26
	<b>(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961</b>	-	-
	<b>(b) Value of perquisites u/s 17(2) Income-tax Act, 1961</b>	-	-
	<b>(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961</b>	-	-
<b>2</b>	<b>Stock Option</b>	-	-
<b>3</b>	<b>Sweat Equity</b>	-	-
<b>4</b>	<b>Commission</b>		
	- as % of profit	-	-
	- others, specify	-	-
<b>5</b>	<b>Others, please specify</b>	-	-
	<b>Total</b>	45.26	45.26

**VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:**

There were no penalties / punishment / compounding of offences for breach of any section of the Companies Act, 2013, against the Company or its Directors or other officers in default, if any, during the year

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